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13281 U.S. PTO

**HUNTON &  
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January 27, 2004

**UTILITY PATENT APPLICATION TRANSMITTAL**Attorney Docket Number: **62732.000152**First Named Inventor: **Craig A. TOWNSEND**Title: **ANTIMICROBIAL COMPOUNDS**TO: **MAIL STOP PATENT APPLICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☒ Fee Transmittal Form (original and duplicate)
2. ☐ Small Entity Status Claimed: ☐ Independent Inventor  
☐ Small Business Concern  
☐ Non-Profit Organization
- ☐ Statement Enclosed
- ☐ Statement Filed in Prior Application; Status Still Proper and Desired
3. ☒ Specification - Total Pages: 29 (Including Abstract)

CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	24	20	4	\$ 18.00	\$ 9.00	\$72.00
Independent Claims	2	3	0	\$ 86.00	\$ 43.00	\$0.00
First Presentation of Multiple Dependent Claims				\$ 290.00	\$ 145.00	\$0.00
<b>BASIC FEE</b>				\$ 770.00	\$ 385.00	\$770.00
<b>TOTAL FILING FEE</b>						\$0.00
Assignment Recordation Fee						\$0.00
<b>TOTAL AMOUNT ENCLOSED</b>						<b>\$842.00</b>

4. ☒ Drawings - Total Sheets: 4 (Fig(s). 1-3B)

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5. Oath or Declaration - Total Pages: 5

- a. ☐ Newly executed (original or copy)  
☐ New (unexecuted)
- b. ☒ Copy from a prior application  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(s):  
Signed statement attached deleting inventor(s) named in prior application.

6. ☐ Application Data Sheet

7. ☐ CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. ☐ Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (two copies); or

ii. ☐ Paper Copy (identical to computer copy)

c. ☐ Statements verifying identity of above copies

9. ☒ Assignee/Applicant: The Johns Hopkins University of Medicine  
720 Rutland Avenue  
Baltimore, Maryland 21205

☒ Assignee of Record in Prior Application No. 09/486,550:

The Johns Hopkins University of Medicine

recorded on 08/28/2000 at Reel 011078, Frame 0711.

10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney

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11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement with PTO/SB/08A and References
- ☐ Copies of Information Disclosure Statement Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☒ Priority is Claimed as Follows:  
**Please add the following paragraph prior to the first paragraph of the specification:**  
**--This application is a divisional application of U.S. Application No. 09/486,550, filed February 29, 2000, which claims benefit of priority under 35 U.S.C. § 371 of PCT/US98/17830, filed August 28, 1998, which claims benefit of priority of U.S. Application No. 60/056,272, filed August 29, 1997. These priority documents are hereby incorporated by reference in their entirety.--**
- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☐ Nonpublication Request under 35 U.S.C. § 1222(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_
18. ☐ Continuation ☒ Divisional ☐ Continuation-in-Part of  
Prior Application No.: 09/486,550 filed February 29, 2000
- Prior application information: Examiner Jon D. Epperson  
Group Art Unit 1639
- ☒ Incorporation By Reference (useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.
- ☐ Complete Application Based on Provisional Application No.: \_\_\_\_\_  
filed \_\_\_\_\_

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19. Please address all correspondence to:

☒ **CUSTOMER NUMBER** 21967

☒ **Laurence H. Posorske**  
Intellectual Property Department  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
Suite 1200  
Washington, DC 20006-1109

20. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is as follows:

☐ The power of attorney is to:

☐ Please remove as power of attorney:

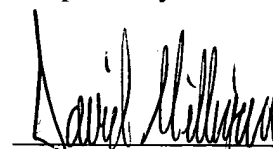
☐ Please add as power of attorney:

21. ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 50-0206**.

☒ The U.S. Patent and Trademark Office is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 50-0206**.

Respectfully submitted,

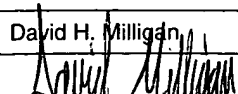
By:



David H. Milligan  
Registration No. 42,893

DHM/mia  
Enclosures

13281 U.S. PTO

<b>FEE TRANSMITTAL</b>  <b>MAIL STOP ISSUE FEE</b>		<i>Complete If Known</i>																																							
		Application No.	09/486,550																																						
		Filing Date	January 27, 2004																																						
		First Named Inventor	Craig A. TOWNSEND, et al.																																						
		Examiner Name	Unassigned																																						
		Group Art Unit	1639																																						
<b>Total Amount Of (\$)</b> 1,360.00 <b>Payment</b>		Attorney Docket No.	62732.000152																																						
<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>																																							
1. <input checked="" type="checkbox"/> The Commissioner for Patents is hereby authorized to charge indicated fees and credit any over payments to <b>Deposit Account No. 50-0206</b> in the name of Hunton & Williams LLP.		<b>3. ADDITIONAL FEES</b> <table style="width: 100%;"> <tr> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> <tr><td><input type="checkbox"/> Surcharge - late filing fee or oath</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> _____ Month Extension of Time</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Notice of Appeal</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Filing Brief in Support of Appeal</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Request for Oral Hearing</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Utility Issue Fee (including advance patent copy order for ten (10) patent copies)</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Design Issue Fee</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Plant Issue Fee</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petition to Commissioner</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petition to Revive (Unavoidable)</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petition to Revive (Unintentional)</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petitions Related to Provisional Applications</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Submission of Information Disclosure Statement</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Filing Submission After Final Rejection</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Recording Each Patent Assignment Per Property</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Filing Request for Reexamination</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Other (specify)</td><td style="text-align: right;">\$</td></tr> </table>		Fee Description	Fee Paid	<input type="checkbox"/> Surcharge - late filing fee or oath	\$	<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$	<input type="checkbox"/> _____ Month Extension of Time	\$	<input type="checkbox"/> Notice of Appeal	\$	<input type="checkbox"/> Filing Brief in Support of Appeal	\$	<input type="checkbox"/> Request for Oral Hearing	\$	<input type="checkbox"/> Utility Issue Fee (including advance patent copy order for ten (10) patent copies)	\$	<input type="checkbox"/> Design Issue Fee	\$	<input type="checkbox"/> Plant Issue Fee	\$	<input type="checkbox"/> Petition to Commissioner	\$	<input type="checkbox"/> Petition to Revive (Unavoidable)	\$	<input type="checkbox"/> Petition to Revive (Unintentional)	\$	<input type="checkbox"/> Petitions Related to Provisional Applications	\$	<input type="checkbox"/> Submission of Information Disclosure Statement	\$	<input type="checkbox"/> Filing Submission After Final Rejection	\$	<input type="checkbox"/> Recording Each Patent Assignment Per Property	\$	<input type="checkbox"/> Filing Request for Reexamination	\$	<input type="checkbox"/> Other (specify)	\$
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Typed or Printed Name	David H. Milligan			Registration No.	42,893																																				
Signature				Date	January 27, 2004																																				